



## UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 01-491)

2152  
PATENT #5  
S.T.  
04.92.09

IN THE APPLICATION OF:

David James Stevenson et al. )  
Serial No. 09/897,518 ) Examiner: To be Assigned  
Filed: July 02, 2001 ) Group Art Unit: 2152  
Title Apparatus And Method For ) Confirmation No.: 2537  
Processing Data Relating To Events )  
On A Network )

BOX DD  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

## TRANSMITTAL LETTER

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In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Supplemental Information Disclosure Statement;
- b. Supplemental Form PTO-1449;
- c. Copy of IDS Citations 09/897,518 (4 references);
- d. Return Receipt Postcard.

2. With respect to additional fees:

 No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 18th day of December, 2001.

By :

  
Timothy R. Baumann  
Reg. No. 40,502

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PATENT

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(Case No. 01-491)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

BOX DD  
Commissioner for Patents  
Washington, D.C. 20231-9999

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the

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references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

**CITED REFERENCES**

**U.S. Patent Documents**

Document Number	Date	Name	Class	Sub Class	Filing Date of App.
5,696,486	12/09/1997	Poliquin et al.	340	506	11/16/1995
5,828,830	10/27/1998	Rangarain et al.	395	185.01	10/30/1996

**Foreign Patent Documents**

Document Number	Date	Country	Class	Sub Class	Translation Yes/No
EP 1065894A1	01/03/2001	European	H04Q	3/00	

**Other Documents**

Citation
Lenoir et al., "Real-Time Transmission Network Management And Operation Support System", Commutation & Transmission, Vol. 12, No. 3, 1990, pages 87-98.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: 12/18/01

By:

  
\_\_\_\_\_  
Timothy R. Baumann  
Registration No. 40,502

Form PTO-1449 (modified)		Atty. Docket No. 01-491	Serial No. 09/897,518
List of Patents and Publications for Applicant's  SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant  David James Stevenson et al.	
		Filing Date: July 02, 2001	Group: 2152
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 2</i>	



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	A1	5,696,486	12/09/1997	Poliquin et al.	340	506	11/16/1995
	A2	5,828,830	10/27/1998	Rangarain et al.	395	185.01	10/30/1996
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

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### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	EP 1065894A1	01/03/2001	European	H04Q	3/00	
	B2						
	B3						
	B4						
	B5						

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

*INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)*

Form PTO-1449 (modified)		Atty. Docket No. 01-491	Serial No. 09/897,518
<b>List of Patents and Publications for Applicant's</b> <b>SUPPLEMENTAL</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>		<b>Applicant</b> <b>David James Stevenson et al.</b>	
		Filing Date: July 02, 2001	Group: 2152
<b>U.S. Patent Documents</b> <i>See Page 1</i>	<b>Foreign Patent Documents</b> <i>See Page 1</i>	<b>Other Art</b> <i>See Page 2</i>	

<b>Other Art (Including Author, Title, Date Pertinent Pages, Etc.)</b>		
<b>Exam. Init.</b>	<b>Ref. Des.</b>	<b>Citation</b>
	C1	Lenoir et al., "Real-Time Transmission Network Management And Operation Support System", Commutation & Transmission, Vol. 12, No. 3, 1990, pages 87-98.
	C2	
	C3	
	C4	
	C5	
	C6	
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*INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)*